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TILLY OF			U.S. Patent and Tradem	ark Office: U.S. D	PTO/SB/21 (04-04) gh 07/31/2006. OMB 0651-0031 SEPARTMENT OF COMMERCE		
-CARACT		no persons are	Application Number	10/787,06			
TRANSMITTAL			Filing Date	2/25/2004			
F	FORM		First Named Inventor	Akiyoshi A	AOYAGI		
(to be used for all co.	rrespondence after initi	al filing)	Art Unit	2811			
			Examiner Name	To be ass	signed		
Total Number of Pages	s in This Submission		Attorney Docket Number	9319S-00	0659		
ENCLOSURES (check all that apply)							
Fee Transmittal F	orm	☐ Drawin	<del>_</del> .	. —	llowance Communication to blogy Center (TC)		
Fee Attached	Fee Attached		Licensing-related Papers		Communication to Board of		
Amendment / Reply		Petition			Communication to TC I Notice, Brief, Reply Brief)		
After Final			i to Convert to a onal Application	Proprie	etary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		☐ Status	Letter		
Extension of Time Request		Terminal Disclaimer			Enclosure(s) identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		dra	pies of specification and wings for referenced cases turn receipt postcard		
☐ Information Disclosure Statement		L CD, Number of CD(s)		Kei	turri receipt postcard		
Certified Copy of Priority Document(s)		Rema	rks	<u>I</u>			
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNA	TURE OF A	APPLICANT, ATTORNEY, (	OR AGENT			
Firm or Individual name  Harness, Dickey & Pierce, I			Attorney Name Reg. No.		7,382		
Signature			D. Theyon Oh	wley			
Date June 24, 2004							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being acsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name G. Gregory		<del>// //                                </del>	Bryant E. Wade	Express Mail Label No.	EV 533 148 935 US (6/24/2004)		

Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.4. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the complete supplementation form to the USPTO Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/of suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademan Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

- C		Application Number	10/787,060			
TRANSMITTAL		Filing Date	2/25/2004			
FORM		First Named Inventor	Akiyoshi AOYAGI			
(to be used for all correspondence after ini	tial filing)	Art Unit	2811			
		Examiner Name	To be assigned			
Total Number of Pages in This Submission		Attorney Docket Number	9319S-000659			
	ENCLO	SURES (check all that apply)				
Fee Transmittal Form	☐ Drawin		After Allowance Communication to Technology Center (TC)			
Fee Attached	Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition	1	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		n to Convert to a onal Application	Proprietary Information			
Affidavits/declaration(s)		of Attorney, Revocation e of Correspondence Address	Status Letter			
Extension of Time Request		al Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request		st for Refund	Copies of specification and drawings for referenced cases			
		mber of CD(s)	Return receipt postcard			
Information Disclosure Statement						
Certified Copy of Priority Document(s)	Remai	Remarks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53	,					
SIGNA	TURE OF A	APPLICANT, ATTORNEY,	DR AGENT			
Firm . or Harness, Dickey &		Attorney Name	Reg. No. 27,382 40,344			
Signature		D. Themps Oh	wley			
Date June 24, 2004	June 29, 2004					
CERTIFICATE OF TRANSMISSION/MAILING						

Signature

Date

June 4, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or letain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.4. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the complete chappication form to the USPTO Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS CORRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you goed assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplication No.:

10/787,060

Filing Date:

2/25/2004

Applicant:

Akiyoshi AOYAGI

Group Art Unit:

2811

Examiner:

To be assigned

Title:

SEMICONDUCTOR DEVICE, ELECTRONIC DEVICE, ELECTRONIC APPARATUS, METHOD FOR MANUFACTURING A SEMICONDUCTOR

DEVICE . . .

Attorney Docket:

9319S-000659

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. <u>COPIES</u>

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

### U.S. Filing Date

	C Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
	D This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
	A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
	B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
	<ol> <li>See the attached foreign patent office communication from a counterpart foreign application.</li> <li>English translations are provided.</li> <li>Other:</li> </ol>
	CThe following additional information is provided for the Examiner's consideration.
IV.	CROSS REFERENCE TO RELATED APPLICATION(S)

# 1\

A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.	<u>Filing Date</u>	Art Unit
10/801,089	3/15/2004	2811
10/801,084	3/15/2004	2811
10/772,572	2/5/2004	2811
10/774,347	2/6/2004	2811
10/801,949	3/16/2004	2811
10/801,927	3/16/2004	2811
10/801,933	3/16/2004	2811

# V. THIS IDS IS BEING FILED UNDER A. X 37 C.F.R. § 1.97(b): (check only one box) 1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. **37 C.F.R. § 1.97(c)**: (check only one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. See the certification below. No fee is required. C. 37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box) The undersigned hereby certifies that: A. \_\_\_\_ each item of information contained in this IDS was first cited in a

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. §

# 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. STATEMENT UNDER 37 CFR 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. PAYMENT OF FEES (check only one box) A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee. B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

for the above-indicated fee. A duplicate copy of this paper is attached.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

VII.

VIII.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: Chure

By: \_\_\_\_

gregory)Schivley

Reg. No. 27

Bryant E. Wade Reg. No. 40,344

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

[BEW/cmh]